Agenda Item 17

National Reporting Form

Document 17

ASCOBANS National Reporting Format

Action Requested

Feedback on the form distributed to AC25. The feedback would be taken into consideration for the form planned for the 9th Meeting of the Parties in 2020.

Submitted by

Intersessional Working Group on National Reporting / Secretariat



ASCOBANS National Reporting Format

1 January 2016 - 31 December 2018

As outlined in <u>ASCOBANS Resolution 8.1</u> on National Reporting, this format will cover the following Sections of the Annex to the Resolution, in addition to the standard Sections I and VII:

- Disturbance, incl. potential physical impacts (Section II B5, B6, B7)
- Habitat Change and Degradation incl. potential physical impacts (Section II C10, C11, C12, C13, C14)
- Area-based Conservation / Marine Protected Areas (Section II E16, E17)
- Education and outreach (Section VI A)

Exceptionally, the reporting period for questions here is 1 January 2016 – 31 December 2018, unless stated otherwise, to accommodate the requirements of the next Meeting of Parties to ASCOBANS, scheduled for 2020. The reports will inform discussion at the 25th Meeting of the Advisory Committee (AC25), which will be held in September 2019.

Where possible, National Coordinators should consult with, or delegate to, experts for particular topics so as to ease the reporting burden. The Secretariat has provided a list of potential country contacts as a starting point. Once the baseline information is in place, it should become easier to update in the future. Please include relevant web links where requested.

Please note that numbering of the sections refers to numbering as in Resolution 8.1. This means the first section in the current form is number 5 (Cetacean Watching Industry) and not number 1.

High-level Summary of Key Messages

In	your country, for the reporting period from 2016 to 2018, what does this report reveal about:
	1. The most successful aspects of implementation of the Agreement? (list up to five items)
	2. The greatest challenges in implementing the Agreement? (list up to five items)
	3. The main priorities for future implementation of the Agreement? (list up to five items)
Sec	tion I: General Information
	A. Country Information
1.	Name of Party / Non-Party Range State:
2.	Details of the Report Compiler
	Name:
	Function: Organization:
	Postal Address:
	Telephone:
	Email:
	Does the Report Compiler act as ASCOBANS National Coordinator (i.e. focal point)? ☐ No ☐ Yes
3.	Details of contributor(s)
	Topic(s) contributed to:
	Name:
	Function:
	Organization: Postal Address:
	Tolonhone:

Copy box if needed

Email:

Section II: Habitat Conservation and Management (threats and pressures on cetaceans)

B. Disturbance (incl. potential physical impacts)

5. Cetacean Watching Industry

AIM: to determine if the developing cetacean watching industry poses a threat to small cetaceans. *Relevant Resolutions: 8.9, 8.2, 8.1, 6.1, 5.4*

Whale and dolphin watching is a global industry that can provide socio-economic benefits to local communities by attracting tourism, as well as strengthening public awareness of conservation needs. However, it also has the potential of being harmful when it interferes with the behaviour of animals in their natural environment and may even lead to injury or death. As the cetacean watching industry is still scarcely developed in some countries, collecting this data now allows tracking the development of the industry.

It is of particular importance to ASCOBANS to obtain an overview of the current scale of the activities and to monitor the development of the industry in the future. This is done by quantifying the number and locations of operators, reporting negative interactions and providing information on the development and implementation of any guidelines regarding cetacean watching.

Filling out this section accurately and completely will help to detect any indications of potential threats, allow timely mitigation action and enable Parties and Non-Party Range States to work towards a coordinated approach regarding the development of cetacean watching guidelines in the Agreement area.

Note: We are here only addressing commercial cetacean watching activities which take place from vessels and include viewing of small cetacean species. Operators are defined as those offering trips with a <u>primary focus</u>: they advertise specifically with the aim to see small cetaceans, or a <u>secondary focus</u>: they advertise either for other taxa, such as birds or seals, or large cetaceans, or more general for wildlife, but mention the opportunity to see small cetaceans.

Questions:

	☐ No. Go to Question 5.3.
	☐ Yes. Continue with Question 5.2.
5.2.	In the table below, provide the sub-regions, ports and operators from which commercial cetacear watching takes place. Please tick the boxes if small cetacean watching is a primary and/or secondary

5.1. Do you have any commercial small cetacean watching industry operating in your country?

Overview of commercial small cetacean watching activities per sub-region.

focus of the operators and, in the first case what the target species are.

OSPAR / HELCOM			s	mall cetacean watcl	Link to website or		
Sub-region	Port	Operator	Primary focus / target species		Secondary focus	contact details	
Choose a region				Choose a species Choose a species Choose a species Choose a species			
Choose a region				Choose a species Choose a species Choose a species Choose a species			

OSPAR / HELCOM	Port Operator		s	mall cetacean watcl	Link to website or	
Sub-region		Operator	Prim	ary focus / target species	Secondary focus	
Choose a region				Choose a species Choose a species Choose a species Choose a species		
Regions: This refers t found in ANNEX A. To					-	ese and a map can be see ANNEX B.

				choose a species		
						hese and a map can be
found in ANNEX A. T	arget species: cnose	? Jrom arop-aow	'n iist pro	viaea, basea on ASC	UBANS IIST,	, SEE ANNEX B.
5.3. Does your co	untry have a defin	ition of the te	rm 'hara	issment'? 1		
□ No.	6					
□ Yes. Prov	vide definition in t	able below:				
5.4. Have there b	peen anv incident	ts of harassm	ent to s	small cetaceans in	n the con	ntext of commercial
	ching reported to					
□ No.						
☐ Yes. Prov	vide information o	n table below.				
Date:						
In what context die	d this incidence occ	ur?				
What was the outo	come for (a) the ani	mal or (b) huma	n? For ex	kample: behavioural	response, i	njury, death.
Describe any legal	procedures / court	proceedings / co	onviction	s that took place:		
Who is the respons	sible authority to re	ceive such repo	rts?			
Link any link to we	bsites or document	ations of this in	cident:			
If there were several	reported incidents of	of harassment, c	opy the t	able and add anothe	er one.	
F.F. Door				doo of ooudat/a	: (حمدناماد:	:
•	•	_	-	des of conduct/gu iercial cetacean wa	-	in place in the event
□ No.	e or narassment n	THE CONCERT		croid Cetacean W	acc	
☐ Yes. Plea	se add below the	type of measu	res and	relevant information	on:	
This ma	ay include regional n	neasures.				
5.6. Does your con	untry have any on	erators that of	for swin	nming with dolnhi	ns (or oth	er small cetaceans)?
-						
					-	icts for both cetaceans t Area, and requires at
		•		lead to harm for cet	_	
_						

☐ No . Go to Question 5.9 .
☐ Yes . Provide information in the table below

¹ For example, the US Marine Mammal Protection Act uses the term harassment, and defines two levels: Level A harassment means any act of pursuit, torment, or annoyance that has the potential to injure a marine mammal or marine mammal stock in the wild. Level B harassment refers to acts that have the potential to disturb (but not injure) a marine mammal or marine mammal stock in the wild by disrupting behavioural patterns, including, but not limited to, migration, breathing, nursing, breeding, feeding, or sheltering. NB. The UK uses the term 'disturbance' in its legislation.

Where (location):	
Species:	
Operator + link to website:	
Any reported incidents between cet	aceans or swimmers?
□ No.	
☐ Yes. Please describe:	
f several incidences have been reporte	ed please copy this table.
-	ent to cetaceans in your country in the context of swimming with smal rities – and the outcome if known (behavioural response, injury, death
Date:	
In what context did this incidence of	ccur?
What were the outcomes for (a) the	animals or (b) humans? For example: behavioural response, injury, death.
Describe any legal procedures / cou	rt proceedings / convictions that took place:
Who is the responsible authority to	receive such reports?
Link any link to websites or docume	ntations of this incident:
Link any link to websites or docume	
f there were several reported harassn 5.8. Does your country have any of disturbance or harassment □ No.	nents, copy the table and add.
f there were several reported harassn 5.8. Does your country have any of disturbance or harassment □ No.	ments, copy the table and add. mitigation measures (codes of conduct/guidelines) in place in the event t during swimming with small cetaceans in place? measures and relevant information:
f there were several reported harassn 5.8. Does your country have any of disturbance or harassment No. Yes. List below the type of This may include regional r	ments, copy the table and add. mitigation measures (codes of conduct/guidelines) in place in the event t during swimming with small cetaceans in place? measures and relevant information:
f there were several reported harassn 5.8. Does your country have any of disturbance or harassment No. Yes. List below the type of This may include regional response of the country have any of t	mitigation measures (codes of conduct/guidelines) in place in the event during swimming with small cetaceans in place? measures and relevant information: measures. ble dolphin interactions in your country? ins may associate with humans, resulting in increased interactions between the either. Sometimes incidents occur and can lead to harm for cetaceans and/o
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5.8. Does your country have any of disturbance or harassment No. Yes. List below the type of This may include regional regionally, individual solitary dolph two which may lead to impacts upon swimmers. No. Go to Question 5.12. Yes. Provide information Where (location)	mitigation measures (codes of conduct/guidelines) in place in the event during swimming with small cetaceans in place? measures and relevant information: measures. ble dolphin interactions in your country? ins may associate with humans, resulting in increased interactions between the either. Sometimes incidents occur and can lead to harm for cetaceans and/or
5.8. Does your country have any of disturbance or harassment No. Yes. List below the type of This may include regional regional regionally, individual solitary dolph two which may lead to impacts upon swimmers. No. Go to Question 5.12. Yes. Provide information Where (location) Date	mitigation measures (codes of conduct/guidelines) in place in the event during swimming with small cetaceans in place? measures and relevant information: measures. ble dolphin interactions in your country? ins may associate with humans, resulting in increased interactions between the either. Sometimes incidents occur and can lead to harm for cetaceans and/or
5.8. Does your country have any of disturbance or harassment No. Yes. List below the type of This may include regional regional regionally, individual solitary dolph two which may lead to impacts upon swimmers. No. Go to Question 5.12. Yes. Provide information Where (location) Date Species	mitigation measures (codes of conduct/guidelines) in place in the event during swimming with small cetaceans in place? measures and relevant information: measures. ble dolphin interactions in your country? ins may associate with humans, resulting in increased interactions between the either. Sometimes incidents occur and can lead to harm for cetaceans and/or

5.10. List any incidents of harassments to small cetaceans in the context of interactions with solitary sociable dolphins reported to authorities – and the outcome if known (behavioural response, injury, death, any court proceedings).

Unknown

same

Date	·					
	hat context did this incidence occur?	"		, ,		1 1
	t were the outcomes for (a) the animals				al response, injury	, death.
	ribe any legal procedures / court proceed		at took pl	ace:		
Who	is the responsible authority to receive so	uch reports?				
	any link to websites or documentations					
If ther	e were several reported incidents, copy th	e table and add.				
5.11.	Does your country have any mitigation of interactions with solitary sociable □ No. □ Yes. List below the type of measure.	e dolphins?			delines) in place	in the event
	This information will enable comparis		s the Agre	ement a	rea, and ultimate	ly may lead to
5.12.	List initiatives/projects (including watching industry, "swim with sma and their possible effects on cetacea	all cetacean" opera	tions, so	litary so	ociable dolphin	
Provi	ide weblinks if available.		,		,	
5.13.	List publications (reports, theses, pa 2018 relating to small cetacean v operations, solitary sociable dolphir	vatching industry,	swim w	ith dol	phins (or small	cetaceans)
Provi	ide weblinks if available.					
5.14.	Please provide web links to other industry, swim with dolphins (or sm and their possible effects on cetacea	all cetaceans) oper	-		-	_
	Description				Web link	
5.15.	Has there been any other notable in 2018 in your country?	nstances / issues rel	ated to c	etacear	n watching indus	stry in 2016-
	Is the perceived level of pressure increasing, decreasing, staying the s	ame or unknown?²				
To be	done on a species by species basis where	applicable (see Annex	B) and by	region v	where relevant (se Staying the	e Annex A).
	Scientific name of the species	Increasing	Decre	acina	Staying tile	Unknown

Scientific name of the species

Increasing

Decreasing

 $^{^{\}rm 2}$ This is a question based on Resolution 8.1, Annex 1.

ASCOB	ANS/	AC25/	Doc 1	7

□ Not applicable. Comments:							

B. Disturbance (incl. potential physical impacts)

6. Recreational Sea Use

AIM: to determine whether recreational sea use is detrimental to small cetaceans and, if so, to identify types of activity and areas of concern.

Relevant Resolutions: 8.9, 8.2, 8.3, 8.1, 7.1, 6.1, 5.4

Recreational use of the sea by humans includes a wide variety of activities, some of which are known to have a potential negative impact on small cetaceans. This includes RIBs (rigid-hulled inflatable boats), hard-hulled boats exceeding 10 knots in speed, yachts and personal water crafts such as jet skis and kayaks; and excludes recreational fishing and sea-angling.

Interactions can cause animals to change behaviour and move away, but can also have more serious impacts, such as injury or even death due to collision. ASCOBANS has agreed on a number of resolutions that highlight the importance to review all available information on recreational use of the sea. Obtaining an overview of best practices and guidelines will enable comparisons to be made across the Agreement Area, and ultimately may lead to the provision of overall, consistent guidelines that might be developed at a regional or national level. In this section we strive to obtain an overview of potential risk areas and national sources that have data on incidents with small cetaceans related to recreational sea use.

Questions:

40.000.	
6.1. Ar	e data on recreational sea use available for your country?
	No. Go to Question 6.3. Yes. Provide information in the table below:
_	Test i fortide information in the table selowi
	Type of information: (e.g. number of licenced recreational vessels per region, tourist number per region, other)
	Web link or other relevant link to data: (where can this information be found)

6.2. Is information on main areas of recreational sea use available for your country?

Many range states are mapping human activities to fulfil obligations under the EU Maritime Spatial Planning Directiv	e,
MSFD, OSPAR, and HELCOM; this information is relevant (though often not readily accessible) to ASCOBANS	ir
understanding the extent and trends of human activities potentially impacting small cetaceans.	

	5	,	
\square No.			
\square Not	applicable. Comments	s:	
☐ Yes.	. Provide information i	n the table below	:
☐ Not	• •		':

	Which area: (Please refer to the overview of OSPAR & HELCO	M sub-regions in Annex A, if possible.)
	Type of information: (e.g. maps, GIS files, reports)	
	Is the data available online? No. Comments: Yes. Provide link:	
6.3.	Was there any incidents of disturbance or harassment t sea use in your country? No. Unknown. Yes. Provide information in the table below:	o small cetaceans in relation to recreational
	Date: Location:	
	In what context did this incidence occur? For example: what	kind of recreational activity.
	What were the outcomes for (a) the animals or (b) humans? death.	For example: behavioural response, injury,
	Description of any legal procedures / court proceedings / co	nvictions:
	Link to websites or any documentations of this incident:	
0.4.	Does your country have any mitigation measures (codes in the event of disturbance or harassment of cetaceans to No. Yes. Provide information in table below: (Specify if these mitigation measures are linked to a specific relevant information.)	hrough recreational sea use?
6.5.	List initiatives/projects (including PhD, MSc) in 2016-20 harassment of cetaceans through recreational sea use in author, web link).	_
6.6.	List publications (reports, theses, papers in journals, boo country relating to disturbance or harassment of cetaces	• • • • • • • • • • • • • • • • • • • •
6.7.	Please provide web links to other relevant information f	
	Description	Web link
6.8.	Have there been any other notable instances / issues in	your country in the reporting period?

6.9. Is the perceived level of pressure from recreational sea use in your country increasing, decreasing, staying the same or unknown?³

Colombificación of the consider		a b) unu by region v	vhere relevant (se	C / 11111C/(/ 1/)
Scientific name of the species	Increasing	Decreasing	Staying the same	Unknown
Not applicable. Comments:	- I			ı
3. Disturbance (incl. potential physical	impacts)			
7. Other Sources of Disturba	nce			
AIM: to identify new sources of disturb Relevant Resolutions: 8.9, 8.1, 6.1	pance that can be a th	reat to small ceta	ceans.	
7.1. Have there been any incidents of of items above?☐ No.☐ Unknown.	disturbance to small o	etaceans in your	country, not co	vered in the
☐ Yes. Please provide information in		or R6 by the report		
Any incidents of disturbance to cetaceans no		or B6 by the report	:	
Any incidents of disturbance to cetaceans no	ot covered in Sections B5	or B6 by the report		
Any incidents of disturbance to cetaceans no Date:	ot covered in Sections B5 cation:			ı, death.
Date: Lo Description of the event:	ot covered in Sections B5 cation: als or (b) humans? For e			ı, death.
Date: Lo Description of the event: What were the outcomes for (a) the anim	ot covered in Sections B5 cation: als or (b) humans? For e	example: behaviour		ı, death.
Date: Description of the event: What were the outcomes for (a) the anim Describe and mitigation measures operations.	ot covered in Sections B5 cation: als or (b) humans? For e	example: behaviour		ı, death.
Date: Description of the event: What were the outcomes for (a) the anim Describe and mitigation measures operation Description of any legal procedures / cour Link to websites to relevant information: 7.2. List initiatives/projects (including I	cation: als or (b) humans? For eing: rt proceedings / convictions PhD, MSc) in 2016-202	example: behaviour	al response, injury	
Date: Description of the event: What were the outcomes for (a) the anim Describe and mitigation measures operati Description of any legal procedures / cour Link to websites to relevant information:	cation: als or (b) humans? For eing: rt proceedings / convictions PhD, MSc) in 2016-202	example: behaviour	al response, injury	

7.3. List publications (reports, theses, papers in journals, books) in 2016-2018 from any study in your country relating to other sources of disturbance.

³ This is a question based on Resolution 8.1, Annex 1.

	ASCOBANS/AC25/Doc.17
7.4. Please provide web links to other relevant information.	
Description	Web link
7.5. Has there been any other notable instances / issues in yo	our country the reporting period?
C. Habitat Change and Degradation (incl. potential physical in	npacts)
10. Pollution and hazardous substances (incl. mic	ronlastics)
Total on and nazaraous substances (man me	
AIM: to illustrate progress, during the reporting period, on ur impacts on cetaceans of important and emerging pollution-re	
Relevant Resolutions: 8.9, 8.8, 8.7, 8.4, 8.3, 8.2, 8.1, 7.4, 7.1, 6	
Our oceans have been subject to a wide range of different ty predators such as small cetaceans that feed on higher troph potentially hazardous substances. There are a number of consuspected to have impacts on cetacean health, immune status polychlorinated biphenyls (PCBs) and other persistent organicaromatic hydrocarbons), toxins from harmful algal blooms (H tri-butyl tin (TBT), morbillivirus, and Brucella. In addition, micenvironment and their impacts are presently poorly understoon	ic prey, tend to accumulate many of these taminants and pathogens that are known or or reproduction. These include for example: c pollutants (POPs), oil pollution (polycyclic ABs), sewage, radionuclides, toxic elements, ro- and nano-plastics are also present in the
Monitoring can be done in tissues of cetaceans obtained from animals that are generally found on the shore. Necropsies all blubber, muscle, kidney or liver and these can be analysed sub To better understand the impact of contaminants on cetacean to work towards a common protocol for analysing sampling Par programs.	ow the sampling of different tissues such as sequently. health, to detect new emerging hazards and
NOTE: Macroplastics and discarded fishing gear are covered un	der Section C 9 Marine Debris.
Questions:	
 10.1. Does your country conduct monitoring of pollutants in Several pollutants have serious effects on individual cetaceans and conature of existing monitoring and identify gaps in terms of which pollutand the establishment of securely funded long-term data series. □ No. □ Yes. 	in threaten populations. The aim is to capture the

Any comments:

ins	stitution(s)/agencie	s that colle	ct the samples	and carry	out the	e analy	ses. Copy table if needed.
Name:								
Role in n	nonitoring	g: (e.g. samp	le collection,	analyses, other)				
Postal A								
Contact								
Telepho	ne:							
Email: Weblink:								
WEDIIIK	•							
			•					itoring program during the was sampled with an x.
2016	2017	2018	Sį	oecies ⁴	2016	2017	2018	Species
			Harbo	ur porpoise				Choose a species
			Choos	e a species				Choose a species
			Choos	e a species				Choose a species
Any com	ments:							
10.4. Se □		source of yourseless of some strain strains of the source	-	s (multiple ansv	vers poss	ible)		
	-	sy from by	_					
	-	from live s						
\Box	•	from live ar	_					
		specify in c						
_	·							
An	y comme	ents:						
ام 5 ما	act tha g	eographica	l coverage	of your monito	ring nro	gram (s	overal a	ınswers are possible)
10.5. 50.		,cograpine	coverage					nswers are possible,
	_	tic Waters		OSPAR Region	-	f Biscay		OM cont.
☐ Norwe	gian Sea			and Iberian Coa				ulf of Finland
OCDAD D		4 8 1 4 1-	C	□ N. Bay of Bis	cay			orthern Baltic Proper
	_	eater North	Sea	☐ Iberian Sea				estern Gotland Basin
☐ Dogger☐ Southe		Coo		☐ Gulf of Cadiz				stern Gotland Basin
☐ Northe				OSPAR Region \	/ Wider A	tlantic		ulf of Riga
☐ Channe		Sea		☐ subregions?	, widei A	ciaricic		dansk Basin
☐ Norwe	_	ch		- Subregions.				ornholm Basin
☐ Skager	•	LII		HELCOM				kona Basin
□ Skagei	Iak			☐ Bothnian Bay	/			ittegat
OSPAR Re	gion III Ce	eltic Sea		☐ Bothnian Sea				elt Sea
☐ Celtic S	_			☐ Archipelago	Sea		⊔ Th	ne Sound
☐ Irish Se				☐ Åland Sea				
☐ Irish &		W. Coast						
			aions can h	e found in the Δni	201/ /		1	

10.2. Who is carrying out the pollutant monitoring program? Please provide information on the

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⁴ Please refer to Annex B for list of species, including scientific names.

	☐ POPs (e.g. PCBs)	☐ Radionuclides	□ Brucella	☐ Others:
	☐ Oil (e.g. PAHs)	☐ Toxic elements	☐ Microplastics	☐ Others:
	☐ HAB toxins	□ ТВТ	☐ Nanoplastics	☐ Others:
	☐ Sewage	☐ Morbillivirus		
Δ	Any comments:			
	•			
L				
).7. [Does your country de	termine microplastics in	cetaceans?	
[☐ No. Go to Questic	on 10.9.		
[☐ Yes. Please provid	e information in the table	e below:	
ο γοι	u have a specific protoc	col to monitor microplastic	in small cetaceans? No	yes □ Yes
-		nd weblinks or upload docu		
			-	ished to make sure that all result.
	-	veen research institutes. In _l borne microplastic fibres.	particular, it is essential to	avoid contamination of sample
mg p	orocessing, e.g. with an	some meropiastic jibres.		
.8. L	List initiatives/projec	ts (including PhD, MSc) ii	n 2016-2018 in your cou	ntry involving studies on
	•		(incl. microplastics) on	small cetaceans (incl. title,
	organisation, lead au	thor.		
rovid	e web links if available.			
101	list nublications (ren	orts theses naners in io	urnals hooks) and other	r evidence from your country
	•			tances (incl. microplastics) o
	small cetaceans.	,		
		_		emes or other research projects
		ce our understanding of imp	pacts of hazardous polluta	nts and/or assess their known o
			- DCD	
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bitic	on oj reproduction). wn	ere relevant, please report s	_	lubber in relation to threshold fo
ibitic	on oj reproduction). Wil		_	lubber in relation to threshold fo
ibitic	on oj reproduction). Wil		_	lubber in relation to threshold fo
	If applicable, list any	ere relevant, please report s	eparately per pollutant, sp	lubber in relation to threshold for ecies and area. pollutants on small cetacean
	If applicable, list any following implemen	ere relevant, please report s y additional evidence/dat ntation of national mitiga	eparately per pollutant, sp	lubber in relation to threshold fo ecies and area. pollutants on small cetacean
	If applicable, list any	ere relevant, please report s y additional evidence/dat ntation of national mitiga	eparately per pollutant, sp	lubber in relation to threshold fo ecies and area. pollutants on small cetacean
	If applicable, list any following implemen	ere relevant, please report s y additional evidence/dat ntation of national mitiga	eparately per pollutant, sp	lubber in relation to threshold fo ecies and area. pollutants on small cetacean
	If applicable, list any following implemen	ere relevant, please report s y additional evidence/dat ntation of national mitiga	eparately per pollutant, sp	lubber in relation to threshold fo ecies and area. pollutants on small cetacean
.10.	If applicable, list any following implement blubber over time).	ere relevant, please report s y additional evidence/dat ntation of national mitiga	ta of <u>reduced</u> impacts of ation measures (e.g. de	lubber in relation to threshold fo ecies and area. pollutants on small cetacean
.10.	If applicable, list any following implement blubber over time).	y additional evidence/dat	ta of <u>reduced</u> impacts of ation measures (e.g. de	lubber in relation to threshold for ecies and area. pollutants on small cetacean:
.10.	If applicable, list any following implement blubber over time).	y additional evidence/datation of national mitigation	ta of <u>reduced</u> impacts of ation measures (e.g. de	pollutants on small cetaceans
).10.	If applicable, list any following implement blubber over time).	y additional evidence/datation of national mitigation	ta of <u>reduced</u> impacts of ation measures (e.g. de	pollutants on small cetaceans
0.10.	If applicable, list any following implement blubber over time). Provide web links to	y additional evidence/datation of national mitigation other relevant informational description	ta of reduced impacts of ation measures (e.g. de	pollutants on small cetaceans cline of contaminant levels in Web link
0.10.	If applicable, list any following implement blubber over time). Provide web links to	y additional evidence/datation of national mitigation	ta of reduced impacts of ation measures (e.g. de	pollutants on small cetaceans cline of contaminant levels in Web link

10.13. Is the perceived pressure from pollution and hazardous substances in your country increasing, decreasing, staying the same or unknown?⁵

To be done on a species by species basis where applicable (see Annex B) and by region where relevant (see Annex A).

Increasing	Decreasing	Staying the same	Unknown
	Increasing	Increasing Decreasing	increasing Decreasing

☐ Not applicable . Comments:		

C. Habitat Change and Degradation (incl. potential physical impacts)

11. Ship Strikes

AIM: Understanding the potential risk of ship strike as a cause of injury/death in small cetaceans. *Relevant Resolutions: 8.9, 8.2, 8.1, 6.1, 5.4*

Ship strikes are collisions between vessels and cetaceans. In the last decades evidence has emerged that ship strikes might occur more often than previously thought and can have a significant impact on small resident cetacean populations. Most research so far has focused on large cetaceans as those animals are often carried visibly into port at the bow of a vessel. For small cetaceans ship strike events are not well documented.

Ship strike occurrence is directly linked to the frequency of shipping activity, including such directed at cetaceans, i.e. cetacean watching. To quantify this risk, it is important to know what kind of vessels are involved in the strike, in particular the vessel speed as well as the type and size of vessel. But it is also important to have information on the cetaceans involved, in particular if the animals were engaged in a particular behaviour such as feeding.

Ship strike can cause direct death or injury in cetaceans. Even collisions that are non-fatal might leave individuals with a reduction in their survival chances. To determine the occurrence of ship-strikes different sources are used. For small cetaceans, direct observations are the rarest. Necropsies of stranded animals can find evidence of characteristic trauma and photographs of animals that survived ship strikes can show typical injuries, such as marks left by propellers. One way to quantify how many animals in a population are impacted by ship strike is to look at the percentage of animals in a photo-identification catalogue that show ship strike marks.

As this is still a not well documented threat this section aims to obtain an overview of what kind of data and research is available and ongoing in the Parties.

Questions:

11.1. Are there reports available in your country of ship strikes with small cetaceans from visual observations?

The International Whaling Commission (IWC) has a global database for ship strike incidents with cetaceans. Whether or not your country is Party to the IWC, it is encouraged for countries to provide all ship strike incident information to the IWC database.

☐ No. Go to Question 11.2.	
☐ Yes. Please provide information in the table below:	

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⁵ This is a question based on Resolution 8.1, Annex 1.

	ncident of a ship strike wi □ Yes □ Unknown	th a small cetacean	n been submitted to t	he IWC Ship Strike [Database?	
Area: (ch Choose a	eck with OSPAR/HELCOM region	map in Annex A)				
Species n	name (scientific), if known	(see Annex B):				
Date of i	ncident:					
Contact:	(if available contact detail	s of the observer)				
(Group si retrieved	on of the observed incide ze if other cetaceans prese, indications of animal bein ssel type, name, speed of	ence, was the cetace ng dead before collu	usion, any other infor	mation; if known, pro		nation
	was retrieved and necropovide link/contact details		cropsy report for this	cetacean? 🗌 No 🛭	☐ Yes	
List any o	other relevant links to wel	osites or other info	rmation, photograph	s or publications, if	available:	
	18? No. Go to Question 11.3 Yes. Please provide info	rmation in the tal				
	Location			Necropsied animals		
Year	Sub-area (OSPAR / HELCOM)	Species	Number of animals showing ship strike	Number of animals with known cause of		of animals e of death strike
	(OSPAR / HELCOIVI)		markings ⁶	death	possible	certain
	Choose a region	Choose a species				
	Choose a region	Choose a species				
	Choose a region	Choose a species				
Provide s	ource of information and	database link if app	licable:		<u>. </u>	
exa	es your country have amination is due to a ve No. Yes. Please provide info	ssel strike?	e to determine tha	at a cause of deat	th in post	mortem
11.4. Is t	here evidence in your	country from exis	sting photo-identifi	cation catalogues	of small o	etaceans

11.4. Is there evidence in your country from existing photo-identification catalogues of small cetaceans of any non-lethal ship strike for the 2016-2018?

For populations of small cetaceans, such as bottlenose dolphins, one can identify those animals in photo-identification catalogues of animals that show ship-strike evidence (e.g. scars). Monitoring the % of animals that show ship strike evidence can be a useful tool to monitor the development of this threat.

⁶ These can be sub-acute (animal dies not immediately after the ship-strike) or chronic lesions (scar forming starts, but there is likely infection/inflammation) or healed lesions that are unrelated to the cause of death (although they could have affected an animals health status in the longer term).

	Strike evidence in piloti	o-identification cata	alogues			
	Location		Photo-ider	ntified ani	mals in the cata	logue
Year	Sub-area (OSPAR / HELCOM)	Species	# individual animals in the photo- identification	# an	imals showing sh markings (e.g. scars)	nip strike
			catalogue	possible	e certain	Unknown
	Choose a region	Choose a species				
	Choose a region	Choose a species				
	Choose a region	Choose a species				
	atives/projects (inclu cetaceans for 2016-: oks if available.	• .	•	•	•	sible effects
	lications (reports, then ship strikes	eses, papers in jou	ırnals, books) froi	n your co	ountry relating	to small
cetaceai Provide web lin	n ship strikes aks if available. management / policy tracking animals, shi	y actions related t	o mitigating ship			
rovide web ling 11.8. List any routing, Provide web ling 11.9. Has there	n ship strikes aks if available. management / policy tracking animals, shi	y actions related t p speed limits) in table instances / i	o mitigating ship your country	strike fo	r small cetacea	ns (re-
retacean Provide web lin 11.8. List any routing, Provide web lin 11.9. Has ther country 11.10. Is the decrea	management / policy tracking animals, shinks if available.	y actions related to p speed limits) in table instances / is od?	o mitigating ship your country ssues of ship stril	strike for	r small cetacea all cetaceans in	ns (re- your

 $^{^{7}}$ This is a question based on Resolution 8.1, Annex 1.

☐ Not applicable. Comments:		

C. Habitat Change and Degradation (incl. potential physical impacts)

12. Climate change (incl. ocean acidification)

AIM: To illustrate progress on understanding, monitoring and mitigating negative effects on small cetaceans of important and emerging climate-change-related hazards.

Relevant Resolutions: 8.9, 8.4, 8.3, 8.2, 8.1, 7.4, 7.1, 6.1, 5.7

It is certain that climate change is altering the habitat of cetaceans. However, our understanding on how the predicted changes will impact different species and populations is still lacking. CMS⁸ highlights the importance on addressing potential issues through the engagement of researchers to better understand the underlying processes, as well as conservation managers and policy makers to monitor changes and to mitigate negative impacts. Focussing on tangible climate change effects relevant to cetaceans, such as ocean warming, prey depletion / prey range shifts, ocean acidification, increased frequency and intensity of ocean storms, changes in sea ice, weakening of the North Atlantic Drift, we need to gather evidence on the existence and nature of climate change effects on small cetaceans and evaluate current monitoring programmes and mitigation measures.

This section aims to provide an overview of what kind of activities are already ongoing in the member states to address climate change. The focus is hereby on those actions specifically regarding cetaceans as well as the most likely impacts on their habitat and prey. Climate change represents possibly the most important future threat to the status of cetaceans in the ASCOBANS region. Direct effects may arise due to ocean warming, resulting in (generally northward) in distribution shifts so that the animals continue to occupy waters with temperature regimes compatible with their thermal niches. Key indirect effects will result from changes in prey distribution and abundance due to ocean warming, ocean acidification and changes in ocean current systems.

Questions:

12.1. Does your country monitor climate effects on cetaceans?9

Climate change will have a multiplicity of possible direct and indirect effects on cetaceans. Attempting to quantify this is challenging, these questions are aimed to provide an overview of the type of monitoring programmes that are conducted that may provide indirect evidence of climate change on cetaceans.

No. Go to Question 12.3.
Yes. Continue to Question 12.2.

12.2. Which effects has your country been monitoring in the reporting period from 2016 to 2018?

Overview of monitoring activities related to climate change effects on small cetaceans. Please add additional direct or indirect effects if applicable.

man det ejjedes ij approducie.	
Monitoring activity	Comments (if possible, provide e.g. contact / link to project)
☐ Changes in small cetacean abundance	
☐ Changes in small cetacean distribution	

⁸ CMS Resolution 12.21 on Climate Change and Migratory Species.

⁹ This refers to direct and indirect effects.

Monitoring activity	Comments (if possible, provide e.g. contact / link to project)
☐ Changes in small cetacean migration or movement range	
☐ Changes in small cetacean migration or movement timing	
☐ Changes in small cetacean community structure	
☐ Changes in reproductive success and timing in small cetaceans	
☐ Changes in prey (fish) abundance and distribution	
☐ Changes in timing of prey (fish) spawning and migration	
☐ Changes in fishing effort	
☐ Changes in the occurrence of pathogens (from sampled individuals)	
☐ Incidences of algal blooms (if yes, where; specify year)	
Provide web links if available. 12.4. List new reports/publications which provide evidence / data of cetaceans in your country in 2016-2018 (title, organization, letters)	ead author; include the species
concerned, the climate change effect observed, who did the work Provide web links if available.)
12.5. Are there any actions / measures in your country to reduce iden small cetaceans (directly or indirectly)? No. Yes. Please describe below:	tified climate change impacts on
12.6. List any gaps in monitoring / mitigation of climate change effects of In order to plan future monitoring and mitigation we need to be aware of current	
12.7. List any emerging potential issues related to climate change effect	s on small cetaceans

12.8. Has there been any other notable instances / issues on climate change effects on small cetaceans in your country in the reporting period?

MSFD, OSPAR, and HELCOM; this information is relevant (though often not readily accessible) to ASCOBANS in understanding the extent and trends of human activities potentially impacting small cetaceans.

^	cti	:	•	
А	CLI	v	L١	•

Which area: (Please refer to the overview of OSPAR & HELCOM sub-regions in Annex A, if possible.)

Type of information: (e.g. maps, GIS files, reports)

¹⁰ This is a question based on Resolution 8.1, Annex 1.

Is the	e data available online? No. Commer Yes. Provide				
13.2.	Does your country have any cases construction, coastal construction) in No. Yes. Describe in the table below:	for small cetaceans	•	ange (e.g. dred	ging, marine
Prov	ide web links if available.				
Overv	Does your country have any mitigation physical habitat change activities (e No. Yes. Describe in the table below: iew of mitigation measures related to small the web links if available.	.g. dredging, marir	ne construction, co	oastal construc	_
_	List initiatives/projects (including impacts from physical habitat chan	· · · · · · · · · · · · · · · · · · ·	-		_
Prov	ide web links if available.				
13.5. Prov. 13.6.	List publications (reports, theses, page 15 to potential impacts of physical had been links if available. Provide web links to other relevant	bitat change on sr		018 in your cou	ntry relating
13.7.	Has there been any other notable change in the reporting period?	instances / issues	in your country re	egarding physic	al habitat
13.8. <i>To be</i>	Is the perceived level of pressur decreasing, staying the same or ur done on a species by species basis where	nknown? ¹¹		-	
	Scientific name of the species	Increasing	Decreasing	Staying the same	Unknown
	ot applicable. Comments:				

 $^{^{\}rm 11}$ This is a question based on Resolution 8.1, Annex 1.

C. Habitat Change and Degradation (incl. potential physical impacts)

14. Other issues

_					
11	ue	cti	\mathbf{a}	n	•
u	ue.	JU	v		٠

14.1.	List any other issues not mentioned above.

E. Area-based Conservation / Marine Protected Areas

16. List of protected areas, e.g. Natura 2000 sites

AIM: to provide information on existing and proposed marine protected areas with cetaceans as part of the selection criteria.

Relevant Resolutions: 8.2, 8.1, 5.7

Marine protected areas (MPAs) are considered under numerous agreements (including the Convention on Biological Diversity, Habitats Directive, Bern Convention, Ramsar Convention, OSPAR Convention, HELCOM, ACCOBAMS) as a tool to achieve conservation goals. Part of ASCOBANS remit is to provide expert advice for the conservation and management of small cetaceans. This includes inviting Parties and Range States to continue or initiate research aimed at locating areas of special importance to the survival (in particular breeding and feeding) of small cetaceans as suitable sites for the establishment of protected areas, and to implement appropriate management actions in these areas on their own or in the context of other intergovernmental bodies to ensure the protection of small cetaceans.

To monitor the progress of such work to fulfil the obligations of Resolution 5.7 (2006) and actions in the 2017-2020 workplan, ASCOBANS requires information (e.g. location, species, status, spatial data, management plans and monitoring) on existing and proposed marine protected areas with cetaceans as part of the selection criteria.

It is of particular interest to ASCOBANS to obtain an overview of the current scale of marine protected areas and to review best practice approaches to management of marine protected areas, in order to make recommendations to Parties, taking MPAs beyond being just 'paper parks'.

Questions:

16.1. Please complete and/or update the following table, providing details of existing or proposed MPAs with cetaceans forming part of the selection criteria.

Please copy the table for each MPA.

Name (full name of MPA)		
ASCOBANS Action Plan	☐ Jastarnia Plan☐ North Sea Plan	□ WBBK Plan□ Not Applicable
OSPAR / HELCOM sub-area	Choose a region	
Size (m²)		
Cetacean species forming part of selection criteria		

MPA status	□ Designated□ Submitted□ Under consultation	☐ Recommended ☐ Other, please specify:
Date of designation (if applicable)		
Legislation / Directive	(e.g. Habitats Directive)	
Are there management measures in place?	☐ No. ☐ Yes. Provide link:	
Link to shapefiles and/or or online map		
Link to any other online information		
In order to monitor implementation of Maneed to understand what management reproving effective. 16.3. Provide details of existing or proving in the proving of provide details of existing or provide details or provi	neasures are being used and be awa	are of examples of what approaches are
management measures listed a 16.4. Recommend any best practice above for small cetaceans.		eat mitigation) of MPAs listed
above for small eccaccalis.		
16.5. List new initiatives/projects in organization, lead author; including order to plan future approaches for Material emerging issues. Provide web links if available.	ude the species concerned, who	did the work)
	nvolving studies of cetaceans relude the species concerned, who c	
Provide web links if available.		
16.7. Provide web links to other rele	vant information.	

Section VI: Information and Education

A. Education and outreach

AIM: to determine if there are gaps in the outreach and education activities and if further materials should be produced in your country or by the Secretariat (e.g. on certain themes, species, regions, languages, for certain target audiences).

Relevant Resolutions: 8.3, 8.2, 5.8, 8.13

ASCOBANS Communication, Education and Public Awareness (CEPA) Plan¹² was presented at the 17th Meeting of the Advisory Committee. The purpose of the CEPA Plan was to identify actions and activities to be undertaken by the Secretariat, Parties and relevant partners. In addition, the Advisory Committee recommended the following overarching principles: (i) Carefully identifying the audience – e.g. children, students, policy makers, fishers – and making materials appropriate to each particular audience; (ii) Noting that different localities, communities and cultures may require different approaches; (iii) Preparing outreach and education materials in relevant languages (including on the website); and (iv) Building joint initiatives with 'partner' organizations and others. The CEPA aimed for more effective engagement with audiences, greater impact upon audiences, closer relationship with key conservation issues; more effective connection with educational, fundraising and promotional initiatives; and more effective and easily understood communication of relevant areas of science. In this spirit, the purpose of this section is to highlight successes and to identify potential gaps in outreach and education activities and related materials.

Questions:

1. Please list education/outreach <u>activities</u> in 2016-2018 in your country, which are of relevance to conservation of small cetaceans in ASCOBANS' remit (e.g. activities during the International Day of the Baltic Harbour Porpoise in May)

Organiser	Name of activity (incl. translation to English, where applicable)	Date(s)	Location	Target audience (general public, scientists, children, fishers; other – please state)	Links (for further information)

2. Please list current information/outreach <u>materials</u> produced in your country, which are of relevance to ASCOBANS' remit and species.

10 / 10 00 5/ 11 10 1 0111	ii aii a speciesi				
Name of publication (incl. translation into English, where applicable)	Author(s)	Publisher	Year	Links (to download publication)	Can ASCOBANS distribute the link to publication for outreach purposes?
					□ No □ Yes
					□ No □ Yes

3.	List other organisations engaged in outreach relevant to ASCOBANS' remit, incl. web links.
4.	Please list other initiatives relevant to ASCOBANS' remit that are not included above.

5. List any gaps in your country's outreach relevant to ASCOBANS' remit. What would be needed to fill these gaps?

¹² See AC17 Report, Annex 10 (starting on page 65).

6.	List outreach activities foreseen for 2020, in which you would like ASCOBANS to be involved. The next Meeting of the Parties to ASCOBANS is scheduled to be held in 2020.			
7.	Resources permitting, are there any materials that you think the ASCOBANS Secretariat should produce? No. Yes. Please describe what, and why:			
8. Has there been any notable instances / issues in your country related to education and outreach in the reporting period?				
Section VII: Other Matters				
	A. Other information or comments important for the Agreement: ¹³			
	B. Difficulties in implementing the Agreement:			

 $^{^{13}}$ Opportunity to include other information relevant to the topics covered in this form but which are missing.

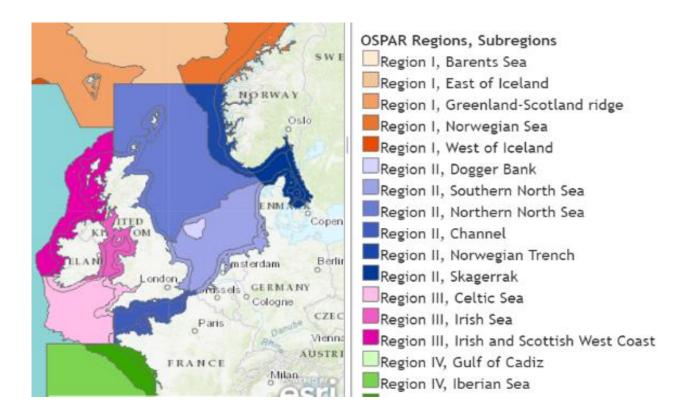
ANNEX A: Overview of the sub-regions as defined by OSPAR and HELCOM.

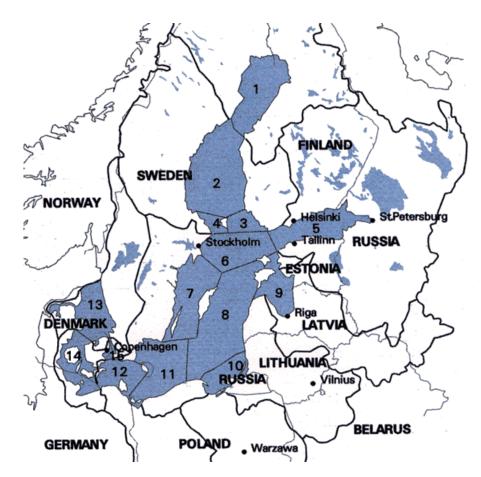
Choose an item.

Drop-down menu sub-regions OSPAR and HELCOM

Choose an item.

OSPAR Region I Arctic Waters	OSPAR Region IV Bay of Biscay	HELCOM cont.
☐ Norwegian Sea	and Iberian Coast	☐ Gulf of Finland
	☐ N. Bay of Biscay	☐ Northern Baltic Proper
OSPAR Region II Greater North Sea	☐ Iberian Sea	☐ Western Gotland Basin
☐ Dogger Bank	☐ Gulf of Cadiz	☐ Eastern Gotland Basin
☐ Southern North Sea		☐ Gulf of Riga
☐ Northern North Sea	OSPAR Region V Wider Atlantic	☐ Gdansk Basin
☐ Channel	subregions?	☐ Bornholm Basin
☐ Norwegian Trench		☐ Arkona Basin
☐ Skagerrak	HELCOM	☐ Kattegat
	☐ Bothnian Bay	☐ Belt Sea
OSPAR Region III Celtic Sea	☐ Bothnian Sea	☐ The Sound
☐ Celtic Sea	☐ Archipelago Sea	
☐ Irish Sea	☐ Åland Sea	
☐ Irish & Scottish W. Coast		





A map of the Baltic Sea drainage basins (catchment area), and marine subdivisions, including basins.

- 1. Bothnian Bay
- 2. Bothnian Sea
- 3. Archipelago Sea
- 4. Åland Sea
- 5. Gulf of Finland
- 6. Northern Baltic Proper
- 7. Western Gotland Basin
- 8. Eastern Gotland Basin
- 9. Gulf of Riga
- 10. Gdansk Basin
- 11. Bornholm Basin
- 12. Arkona Basin
- 13. Kattegat
- 14. Belt Sea
- 15. The Sound

ANNEX B: Species covered by ASCOBANS.

Code	Common name	Scientific name
AWSD	Atlantic white-sided dolphin	Lagenorhynchus acutus
BBW	Blainville's beaked whale	Mesoplodon densirostris
BD	Bottlenose dolphin	Tursiops truncatus
CBW	Cuvier's beaked whale	Ziphius cavirostris
CD	Short-beaked Common Dolphin	Delphinus delphis
FKW	False killer whale	Pseudorca crassidens
GBW	Gervais' beaked whale	Mesoplodon europaeus
HP	Harbour Porpoise	Phocoena phocoena
KW	Killer Whale	Orcinus orca
LFPW	Long-finned pilot whale	Globicephala melas
NBW	Northern bottlenose whale	Hyperoodon ampullatus
PKW	Pygmy killer whale	Feresa attenuata
PSW	Pygmy sperm whale	Kogia breviceps
RD	Risso's dolphin	Grampus griseus
RTD	Rough-toothed dolphin	Steno bredanensis
SBW	Sowerby's beaked whale	Mesoplodon bidens
SD	Striped dolphin	Stenella coeruleoalba
SFPW	Short-finned pilot whale	Globicephala macrorhynchus
TBW	True's beaked whale	Mesoplodon mirus
WBD	White-beaked dolphin	Lagenorhynus albirostris

Drop down menu Small Cetacean Species:

Choose an item.